

Chapter 5

Graphical Applications of the Derivative

given a function,  $f(x)$ ,  $f'(x)$  is known as <sup>implicit</sup> the first derivative of  $f(x)$   $\frac{d}{dx}$

5.1 Higher Order Derivatives

if  $f'(x)$  can be differentiated, then  $f''(x)$  is called the second derivative of  $f(x)$

using  $\frac{dy}{dx}$ , the 2<sup>nd</sup> derivative would be  $\frac{d^2y}{dx^2}$

3<sup>rd</sup>, 4<sup>th</sup>, ... n<sup>th</sup> derivative  $f'''(x)$   $\frac{d^3y}{dx^3}$

example:  $f(x) = x^3 - 4x^2 + 5x - 2$

$f'(x) = 3x^2 - 8x + 5$        $f'''(x) = 6$

$f''(x) = 6x - 8$                $f''''(x) = 0$

example:  $f(x) = \frac{x^2}{(3x+1)^3}$

$f'(x) = \frac{2x(3x+1)^3 - 3(3x+1)^2(3)(x^2)}{(3x+1)^6}$

$= \frac{x(3x+1)^2 [2(3x+1) - 9x]}{(3x+1)^6}$

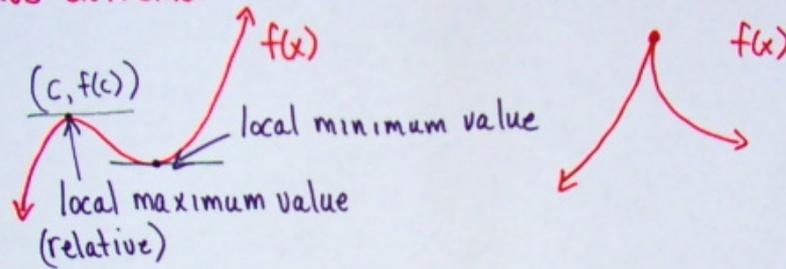
$= \frac{x(-3x+2)}{(3x+1)^4}$

$f'(x) = \frac{-3x^2 + 2x}{(3x+1)^4}$

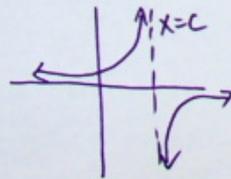
$f''(x) = \frac{(-6x+2)(3x+1)^4 - 4(3x+1)^3(-3x^2+2x)}{(3x+1)^8}$

## 5.2 Relative and Absolute Extrema

### relative extrema



Critical Number a function,  $f(x)$ , has a critical number at  $x=c$  if  $f'(c)=0$  or  $f'(c)$  does not exist and  $x=c$  is in the domain of  $f(x)$



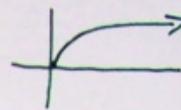
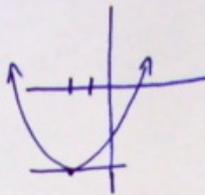
example: find all critical numbers of  $f(x)$

1)  $f(x) = x^2 + 4x - 11$

$$f'(x) = 2x + 4 = 0$$

$$x = -2$$

$$f(-2) = 4 - 8 - 11 = -15$$



3)  $f(x) = \sqrt{x}$   $x \geq 0$   
 $[0, \infty)$

$$f'(x) = \frac{1}{2} x^{-1/2}$$

$$= \frac{1}{2\sqrt{x}} \quad x > 0$$

$$\frac{1}{2\sqrt{x}} = 0$$

2)  $f(x) = \frac{x^2}{x-2}$

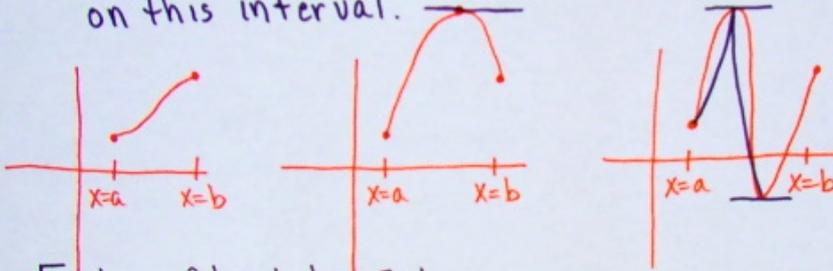
$$x \neq 2$$

$$f'(x) = \frac{2x(x-2) - 1(x^2)}{(x-2)^2} = 0$$

$$f'(2) = \frac{-4}{0} \quad \begin{aligned} 2x^2 - 4x - x^2 &= 0 & x=0 \\ x^2 - 4x &= 0 & x=4 \\ x(x-4) &= 0 \end{aligned}$$

## Absolute Extrema (global)

Extreme Value Theorem if  $f(x)$  is a continuous function on a closed interval  $[a, b]$ , then  $f(x)$  has both a global maximum and global minimum on this interval.



Finding Absolute Extrema

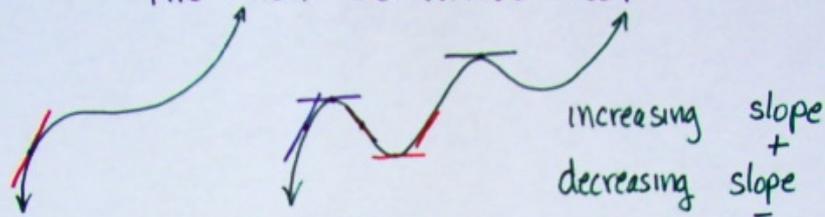
1. find all critical values of  $f(x)$  on  $[a, b]$
2. evaluate  $f(x)$  at each critical value
3. evaluate  $f(x)$  at each endpoint
4. the greatest and least of these values are the global extrema

examples: Find the absolute extrema

1)  $f(x) = x^2 - 4x - 1, [1, 4]$        $f(1) = -4$        $-1$  is a global max  
 $f(4) = -1$   
 $f'(x) = 2x - 4 = 0$        $f(2) = -5$        $-5$  is a global min  
 $x = 2$

2)  $f(x) = \frac{(x+1)^2}{x-1}, [2, 4]$        $f(2) = 9$        $9$  is a global max  
 $f(4) = \frac{25}{3} = 8\frac{1}{3}$   
 $f'(x) = \frac{2(x+1)(x-1) - (x+1)^2}{(x-1)^2} = 0$        $f(3) = 8$        $8$  is a global min  
 $(x+1)[2x-2-x-1] = 0$   
 $(x+1)(x-3)$   
 ~~$x = -1$~~        $x = 3$

### 5.3 Increasing and Decreasing Intervals The First Derivative Test



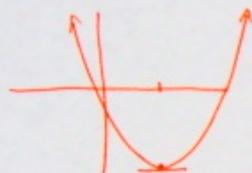
\* if  $f'(x) > 0$ , then  $f(x)$  is increasing  
 $f'(x) < 0$ , then  $f(x)$  is decreasing

1<sup>st</sup> derivative test if  $c$  is a critical # of a continuous function,  $f(x)$ , then:

- $f(x)$  has a relative minimum at  $x=c$ , if  $f'(x)$  switches signs from neg to pos at  $x=c$
- $f(x)$  has a relative maximum at  $x=c$ , if  $f'(x)$  switches signs from pos to neg at  $x=c$

example: find the open interval on which  $f(x)$  is increasing or decreasing. Find the coordinates of any relative extrema

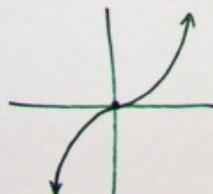
1)  $f(x) = x^2 - 8x - 20$   
 $f'(x) = 2x - 8 = 0$   
 $x = 4$



$x$	$(-\infty, 4)$	$4$	$(4, \infty)$
$f'(x)$	-	0	+

1<sup>st</sup> deriv test  
 increasing  $(4, \infty)$   
 decreasing  $(-\infty, 4)$   
 $(4, f(4))$  local minimum  
 $(4, -36)$

2)  $f(x) = x^3$   
 $f'(x) = 3x^2 = 0$   
 $x = 0$



$x$	$(-\infty, 0)$	$0$	$(0, \infty)$
$f'(x)$	+	0	+

increasing  $(-\infty, 0) \cup (0, \infty)$   
 decreasing never  
 $(0, 0)$

3)  $f(x) = -x^4 + 18x^2 + 3$   
 $f'(x) = -4x^3 + 36x = 0$   
 $-4x(x^2 - 9)$   
 $-4x(x-3)(x+3) = 0$   
 $x=0 \quad x=3 \quad x=-3$

$f(-3) = -(-3)^4 + 18(-3)^2 + 3$   
 $= -81 + 18(9) + 3$   
 $= -81 + 162 + 3$   
 $= 165 - 81$

4)  $f(x) = \frac{x^2}{x-2}$   
 $f'(x) = \frac{2x(x-2) - x^2}{(x-2)^2} = 0$   
 $x \neq 2$   
 $2x^2 - 4x - x^2 = \frac{x^2 - 4x}{(x-2)^2}$   
 $\frac{x(x-4)}{(x-2)^2} = 0 \quad x=0, 4$

$x$	$(-\infty, -3)$	$-3$	$(-3, 0)$	$0$	$(0, 3)$	$3$	$(3, \infty)$
$f'(x)$	+	0	-	0	+	0	-

$-4x(x-3)(x+3)$   
 $+ \quad - \quad +$   
 increasing  $(-\infty, -3) \cup (0, 3)$   
 decreasing  $(-3, 0) \cup (3, \infty)$

relative max  $(-3, 84)$   
 $(3, 84)$   
 relative min  $(0, 3)$

$x$	$(-\infty, 0)$	$0$	$(0, 2)$	$2$	$(2, 4)$	$4$	$(4, \infty)$
$f'(x)$	+	0	-	and	-	0	+

increasing  $(-\infty, 0) \cup (4, \infty)$   
 decreasing  $(0, 2) \cup (2, 4)$   
 relative max  $(0, 0)$   
 " min  $(4, 8)$

### 5.4 Concavity and the Second Derivative Test

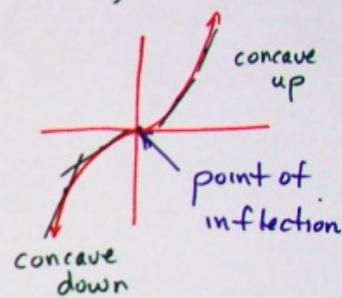
$f(x) = x^3$   
 $f'(x) = 3x^2 = 0$   
 $x=0$

$x$	$(-\infty, 0)$	$0$	$(0, \infty)$
$f'(x)$	+	0	+

increasing  $(-\infty, 0) \cup (0, \infty)$

$f''(x) = 6x = 0$   
 $x=0$

$x$	$(-\infty, 0)$	$0$	$(0, \infty)$
$f''(x)$	-	0	+



#### Test for Concavity

if  $f''(x) > 0$ , then  $f(x)$  is concave up  
 if  $f''(x) < 0$ , then  $f(x)$  is concave down.

Points of Inflection - any point where  $f(x)$  changes concavity  
 $f''(x) = 0$  and  $f''(x)$  must change sign.

$f(x) = x^4$   
 $f'(x) = 4x^3$   
 $f''(x) = 12x^2 = 0$   
 $x = 0$

$x$	$(-\infty, 0)$	$0$	$(0, \infty)$
$f''(x)$	$+$	$0$	$+$

concave up  $(-\infty, 0) \cup (0, \infty)$

$f(x) = x^3 + 6x^2$  Determine the intervals of concavity and find all points of inflection

$f'(x) = 3x^2 + 12x$   
 $f''(x) = 6x + 12 = 0$   
 $x = -2$

$x$	$(-\infty, -2)$	$-2$	$(-2, \infty)$
$f''(x)$	$-$	$0$	$+$

inflection pt  $(-2, 16)$

$f(-2) = -8 + 24 = 16$

concave down  $(-\infty, -2)$   
 " up  $(-2, \infty)$

$f(x) = \frac{24}{x^2 + 12} = 24(x^2 + 12)^{-1}$   
 $f'(x) = -24(x^2 + 12)^{-2}(2x) = \frac{-48x}{(x^2 + 12)^2}$

$f''(x) = \frac{-48(x^2 + 12) - 2(x^2 + 12)(2x)(-48x)}{(x^2 + 12)^4} = 0$   
 $f''(x) = \frac{-48(x^2 + 12)[x^2 + 12 - 4x^2]}{(x^2 + 12)^4} = 0$   
 $f''(x) = \frac{-48(-3x^2 + 12)}{(x^2 + 12)^3} = 0$   
 $= \frac{144(x^2 - 4)}{(x^2 + 12)^3} = 0$   
 $= \frac{144(x-2)(x+2)}{(x^2 + 12)^3} = 0$   
 $x = 2 \quad x = -2$

$x$	$(-\infty, -2)$	$-2$	$(-2, 2)$	$2$	$(2, \infty)$
$f''(x)$	$+$	$0$	$-$	$0$	$+$

$\frac{24}{16}$

concave up  $(-\infty, -2) \cup (2, \infty)$   
 down  $(-2, 2)$

inflect pts  $(-2, \frac{3}{2})$   
 $(2, \frac{3}{2})$

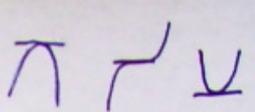
$f(x) = x^{\frac{2}{3}} - x^{\frac{1}{3}}$   
 $f'(x) = \frac{2}{3}x^{-\frac{1}{3}} - \frac{1}{3}x^{-\frac{2}{3}}$   
 $f''(x) = -\frac{2}{9}x^{-\frac{4}{3}} + \frac{2}{9}x^{-\frac{5}{3}} = 0$   
 $-x^{-\frac{4}{3}} + x^{-\frac{5}{3}} = 0$   
 $\frac{1}{x^{\frac{5}{3}}} = \frac{1}{x^{\frac{4}{3}}}$   
 $\frac{1}{\sqrt[3]{x^5}} = \frac{1}{\sqrt[3]{x^4}}$   
 $\frac{1}{x^5} = \frac{1}{x^4}$       $x=0$   
 $x^5 = x^4$       $x=1$   
 $x^5 - x^4 = 0$   
 $x^4(x-1) = 0$

$x$	$(-\infty, 0)$	$0$	$(0, 1)$	$1$	$(1, \infty)$
$f''(x)$	-	0	+	0	-

$f''(x) = -\frac{2}{9}x^{-\frac{4}{3}}(1 - x^{-\frac{1}{3}})$   
 $= \frac{-2}{9\sqrt[3]{x^4}}(1 - \frac{1}{\sqrt[3]{x}})$       $\sqrt[3]{\frac{1}{8}} = \frac{1}{2}$   
neg.

concave up  $(0, 1)$   
 " down  $(-\infty, 0) \cup (1, \infty)$   
 inflection pts  $(0, 0)$   
 $(1, 0)$

### Second Derivative Test



Suppose  $f(x)$  is a continuous function in an interval containing  $x=c$

- if  $f'(c)=0$  and  $f''(c) < 0$ , then  $x=c$  is a relative max point
- if  $f'(c)=0$  and  $f''(c) > 0$ , then  $x=c$  is a relative min point
- \* if  $f''(c)=0$  or  $f''(c)$  does not exist, then the 2<sup>nd</sup> derivative test fails

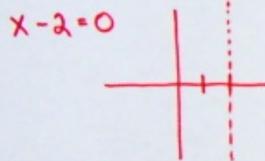
exII locate all relative extrema for  $f(x) = x^3 + 6x^2 - 15x - 90$

$f'(x) = 3x^2 + 12x - 15 = 0$ $x^2 + 4x - 5 = 0$ $(x+5)(x-1) = 0$ $x = -5 \quad x = 1$	$f''(x) = 6x + 12$ $= 6(x+2)$ $f''(-5) = \text{neg}$ $x = -5$ rel max	$f''(1) = \text{pos}$ $x = 1$ rel min
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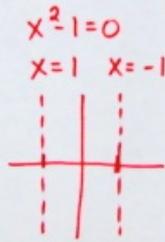
### 5.5 Asymptotes and Intercepts

Vertical Asymptotes In a rational function, there will be a vertical asymptote (v.a.) at  $x=c$  for any value of  $c$  in which the denominator is zero but the numerator is non-zero.

ex I  $f(x) = \frac{x}{x-2}$  v.a.  $x=2$



$f(x) = \frac{-3}{x^2-1}$



$f(x) = \frac{4x}{x^2+4}$

$x^2+4=0$   
no vert asymptote

$f(x) = \frac{x-3}{x^2-9} = \frac{x-3}{(x-3)(x+3)}$   
 $x^2-9=0$   $x=3$   $x=-3$

### horizontal asymptotes $y=c$

to determine how a function behaves as  $x$  approaches a very large + or - value, it is necessary to use limits

$f(x) = \frac{3x}{x+2}$

$\lim_{x \rightarrow \infty} \frac{3x}{x+2} \cdot \frac{\frac{1}{x}}{\frac{1}{x}} = \frac{3}{1+\frac{2}{x}} = 3$   
 $\lim_{x \rightarrow \infty} \frac{2}{x} = 0$   $\lim_{x \rightarrow -\infty} \frac{2}{x} = 3$   $y=3$

$f(x) = \frac{x}{x^2+1} \cdot \frac{\frac{1}{x^2}}{\frac{1}{x^2}} = \frac{\frac{1}{x}}{1+\frac{1}{x^2}}$   $\lim_{x \rightarrow \pm\infty} \frac{0}{1+0} = 0$   $y=0$

ex II  $f(x) = \frac{5x^2-2x}{4x^2+1}$   $y = \frac{5}{4}$   $f(x) = \frac{3x^0}{x^1-2}$   $y=0$

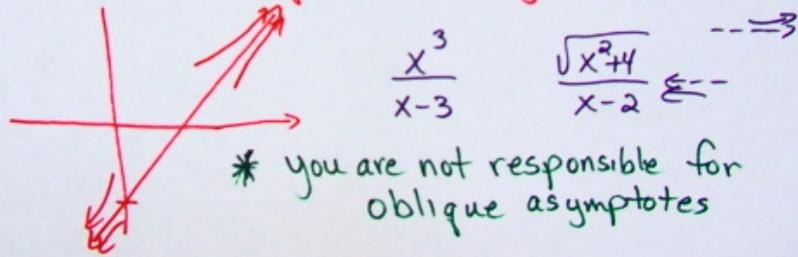
Other asymptotes if the degree of the numerator is higher than the degree of the denominator, a rational function has an oblique asymptote

ex||  $f(x) = \frac{x^2 - 2x + 3}{x + 4}$   $\lim_{x \rightarrow \pm\infty} f(x)$

$$x+4 \overline{) \begin{array}{r} x^2 - 2x + 3 \\ -x^2 + 4x \\ \hline -6x + 3 \\ -6x - 24 \\ \hline 27 \end{array}}$$

$f(x) = x - 6 + \frac{27}{x+4}$   $\lim_{x \rightarrow \pm\infty} \frac{27}{x+4} \rightarrow 0$

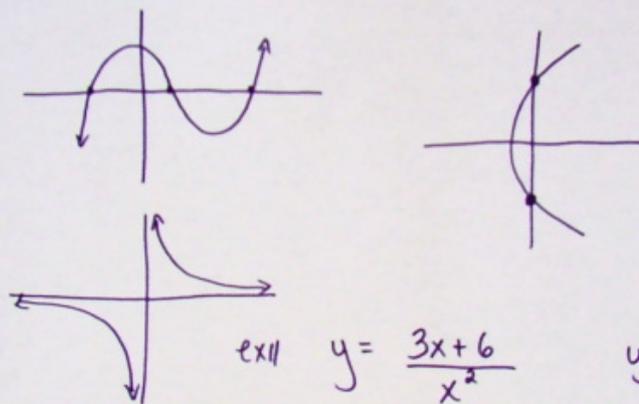
oblique (slant) asym  $x - 6$



Intercepts

x-int  
let  $y = 0$

y-int  
let  $x = 0$



ex||  $y = \frac{3x+6}{x^2}$

$y = \frac{3(0)+6}{0^2}$

x-int  
 $0 = \frac{3x+6}{x^2}$   
 $3x+6=0$   
 $x = -2$   
 $(-2, 0)$

y-int  
no y-int

## 5.6 Curve Sketching

1) analyze 1<sup>st</sup> derivative

- 1<sup>st</sup> deriv test
- intervals of increase/decrease
- critical #'s
- relative extrema

2) analyze 2<sup>nd</sup> derivative

- concavity test
- intervals of concavity
- inflection points

3) x and y intercepts

4) asymptotes - rational functions only

5) sketch graph

$$f(x) = x^4 + 4x^3$$

1)  $f'(x) = 4x^3 + 12x^2 = 0$   
 $4x^2(x+3) = 0$   
 $x=0 \quad x=-3$

x	$(-\infty, -3)$	-3	$(-3, 0)$	0	$(0, \infty)$
$f'(x)$	-	0	+	0	+

increasing  $(-3, 0) \cup (0, \infty)$   
 decreasing  $(-\infty, -3)$   
 relative min  $(-3, -27)$   
 " max none

2)  $f''(x) = 12x^2 + 24x = 0$   
 $12x(x+2) = 0$   
 $x=0 \quad x=-2$

x	$(-\infty, -2)$	-2	$(-2, 0)$	0	$(0, \infty)$
$f''(x)$	+	0	-	0	+

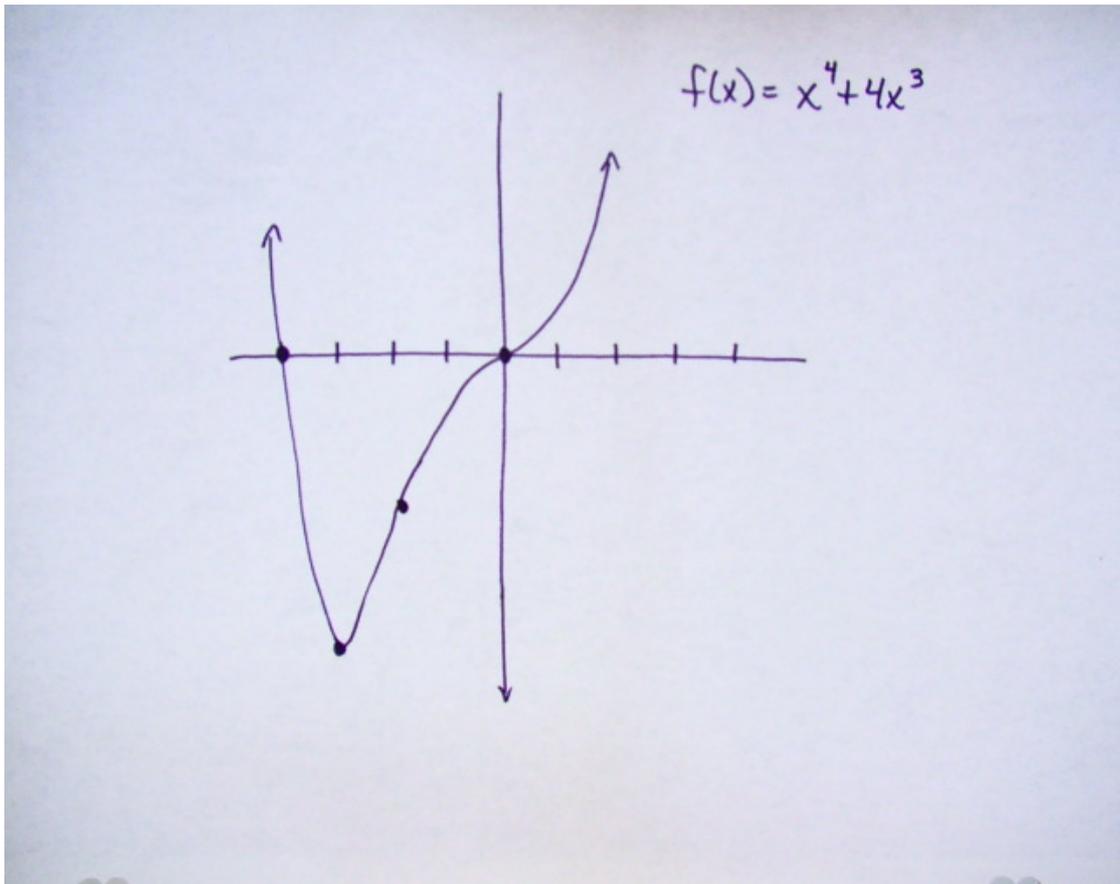
concave up  $(-\infty, -2) \cup (0, \infty)$   
 " down  $(-2, 0)$

3)  $x\text{-int} \quad 0 = x^3(x+4)$   
 $x=0 \quad x=-4$

$y\text{-int} \quad y=0$

inflection pts  $(-2, -16)$   
 $(0, 0)$

4) no asymptotes



$f(x) = \frac{2x'}{x'-3}$   
 1)  $f'(x) = \frac{2(x-3) - 2x}{(x-3)^2} = \frac{-6}{(x-3)^2} = 0$   
 $-6 = 0$  no sol  
 no critical points  

X	$(-\infty, 3)$	3	$(3, \infty)$
$f'(x)$	-	und	-

 decreasing  $(-\infty, 3) \cup (3, \infty)$   
 increasing never  
 no relative extrema

2)  $f'(x) = -6(x-3)^{-2}$   
 $f''(x) = 12(x-3)^{-3} (1)$   
 $= \frac{12}{(x-3)^3} = 0$   
 no critical points  

X	$(-\infty, 3)$	3	$(3, \infty)$
$f''(x)$	-	und	+

 concave up  $(3, \infty)$   
 down  $(-\infty, 3)$   
 no inflection pts

3) x-int  $y=0$   
 $\frac{0 = 2x}{1 \quad x-3}$   
 $x=0$

4) v.a  
 $x=3$   
 $\lim_{x \rightarrow 3^+} f(x) = \infty$   
 $\lim_{x \rightarrow 3^-} f(x) = -\infty$   
 h.a.  $y=2$   
 $\lim_{x \rightarrow \infty} f(x) = 2^+$   
 $\lim_{x \rightarrow -\infty} f(x) = 2^-$

5)

$f(x) = x^{\frac{2}{3}} + \frac{1}{5}x^{\frac{5}{3}}$

1)  $f'(x) = \frac{2}{3}x^{-\frac{1}{3}} + \frac{1}{3}x^{\frac{2}{3}} = 0$   
 $\frac{1}{3}x^{-\frac{1}{3}}[2+x] = 0$   
 $\frac{2+x}{3\sqrt[3]{x}} = 0$   
 $x = -2$  (horiz)  $x = 0$  (vertical)

$x$	$(-\infty, -2)$	$-2$	$(-2, 0)$	$0$	$(0, \infty)$
$f'(x)$	$+$	$0$	$-$	und	$+$

increasing  $(-\infty, -2) \cup (0, \infty)$   
 decreasing  $(-2, 0)$   
 rel max  $(-2, 0.95)$   
 mm  $(0, 0)$

2)  $f''(x) = -\frac{2}{9}x^{-\frac{4}{3}} + \frac{2}{9}x^{-\frac{1}{3}}$   
 $= -\frac{2}{9}x^{-\frac{4}{3}}[1-x]$   
 $= -\frac{2(1-x)}{9\sqrt[3]{x^4}} = 0$   
 $x = 1$   $x = 0$

$x$	$(-\infty, 0)$	$0$	$(0, 1)$	$1$	$(1, \infty)$
$f''(x)$	$-$	und	$-$	$0$	$+$

Concave down  $(-\infty, 0) \cup (0, 1)$   
 up  $(1, \infty)$   
 inflection pt  $(1, \frac{6}{5})$

3)  $0 = x^{\frac{2}{3}} + \frac{1}{5}x^{\frac{5}{3}}$   
 $x^{\frac{2}{3}}(1 + \frac{1}{5}x) = 0$   
 $x = 0$   $x = -5$   $y = 0$

4) no asymptotes

5)

### 5.1 Chapter Review

- 1) find  $f'$  and  $f''$  of a function
  - polynomial
  - rational
- 2) find critical #'s of a function  
 $f'(x) = 0$  or  $f'(x)$  does not exist
- 3) find absolute extrema  $[a, b]$
- 4) 1<sup>st</sup> derivative test
  - increasing/decreasing intervals
  - relative extrema
- 5) concavity test  
 $f''(x)$ 
  - intervals of concavity
  - inflection points
- 6) 2<sup>nd</sup> derivative test for local extrema
- 7) find equation of asymptotes
- \* 8) analyze a function
  - 1) 1<sup>st</sup> deriv
  - 2) 2<sup>nd</sup> deriv
  - 3) intercepts
  - 4) asymptotes
  - 5) sketch